

**WOLVERHAMPTON CCG  
GOVERNING BODY  
11 July 2017**

Agenda item 14

<b>TITLE OF REPORT:</b>	<b>Summary – Wolverhampton Clinical Commissioning Group(WCCG) Audit and Governance Committee (AGC) – 23 May 2017</b>
<b>AUTHOR(s) OF REPORT:</b>	Peter Price – Interim Chair, Audit and Governance Committee
<b>MANAGEMENT LEAD:</b>	Claire Skidmore – Chief Finance and Operating Officer
<b>PURPOSE OF REPORT:</b>	<ul style="list-style-type: none"> <li>To provide an update of the WCCG Audit and Governance Committee to the Governing Body of the WCCG.</li> </ul>
<b>ACTION REQUIRED:</b>	<input type="checkbox"/> <b>Decision</b> <input checked="" type="checkbox"/> <b>Assurance</b>
<b>PUBLIC OR PRIVATE:</b>	This Report is intended for the public domain.
<b>KEY POINTS:</b>	<ul style="list-style-type: none"> <li>To provide an update of the WCCG Audit and Governance Committee to the Governing Body of the WCCG.</li> </ul>
<b>RECOMMENDATION:</b>	<ul style="list-style-type: none"> <li><b>Receive</b> this report and <b>note</b> the actions taken by the Audit and Governance Committee</li> </ul>
<b>LINK TO BOARD ASSURANCE FRAMEWORK AIMS &amp; OBJECTIVES:</b>	
3. System effectiveness delivered within our financial envelope	<u>Continue to meet our Statutory Duties and responsibilities</u> The Committee considered a series of items associated with the CCG's Annual Accounts and Reporting requirements, which are statutory requirements.



## **1. BACKGROUND AND CURRENT SITUATION**

- 1.1 2016/17 – Chief Internal Auditor Progress Report/Stakeholder Engagement/Conflicts of Interest/Information Governance Toolkit  
The Committee considered the Chief Internal Auditor Progress Report and final audit reports for Stakeholder Engagement; Conflicts of Interest and Information Governance Toolkit. These were accepted by the committee.
- 1.2 2016/17 Report to those charged with Governance (ISA260)  
The External Audit team reported their findings for the year 2016/17 and advised that they anticipated that they would be in a position to issue an unqualified audit opinion on the financial statements.
- 1.3 Management Representation Letter  
The Management Representation Letter was presented by the Head of Financial Resources.
- 1.4 CCG Annual Report, Accounts and Governance Statement  
The Annual Report, Accounts and Governance Statement were presented to the Audit and Governance Committee and the committee gave their recommendation for the Governing Body to sign them off.
- 1.5 2017/18 Internal Audit Plan  
The Committee were presented with a report outlining proposed activities for 2017/18. The committee agreed the work plan for the year.

## **2. CLINICAL VIEW**

- 2.1. N/A

## **3. PATIENT AND PUBLIC VIEW**

- 3.1. N/A

## **4. KEY RISKS AND MITIGATIONS**

- 4.1. The Audit and Governance Committee will regularly scrutinise the risk register and Board Assurance Framework of the CCG to gain assurance that processes for the recording and management of risk are robust. If risk is not scrutinised at all levels of the organisation, particularly at Governing Body level, the CCG could suffer a loss of control with potentially significant results.

## **5. IMPACT ASSESSMENT**

### ***Financial and Resource Implications***

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5.1. N/A

***Quality and Safety Implications***

5.2. N/A

***Equality Implications***

5.3. N/A

***Legal and Policy Implications***

5.4. N/A

***Other Implications***

5.5. N/A

**Name: Claire Skidmore**

**Job Title: Chief Finance and Operating Officer**

**Date: 24 May 2017**



### REPORT SIGN-OFF CHECKLIST

**This section must be completed before the report is submitted to the Admin team. If any of these steps are not applicable please indicate, do not leave blank.**

	<b>Details/ Name</b>	<b>Date</b>
Clinical View	<b>N/A</b>	
Public/ Patient View	<b>N/A</b>	
Finance Implications discussed with Finance Team	<b>N/A</b>	
Quality Implications discussed with Quality and Risk Team	<b>N/A</b>	
Equality Implications discussed with CSU Equality and Inclusion Service	<b>N/A</b>	
Information Governance implications discussed with IG Support Officer	<b>N/A</b>	
Legal/ Policy implications discussed with Corporate Operations Manager	<b>N/A</b>	
Other Implications (Medicines management, estates, HR, IM&T etc.)	<b>N/A</b>	
Any relevant data requirements discussed with CSU Business Intelligence	<b>N/A</b>	
<b>Signed off by Report Owner (Must be completed)</b>		

